

# Data Structure

(Java programming)

## *Chapter 08.*



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- **List-Based PQ**
- **Heap**

- *List-Based PQ*

- List-Based PQ

## Priority Queue

- A *priority queue* stores a collection of *prioritized* elements
  - Arbitrary insertions, but
  - *Priority-ordered* removals
- Exposes no notion of “position”
  - The element with *first priority* can be removed *anytime*
  - This is the fundamental difference from the position-based ADTs (e.g., Stacks, Queues, Deques, or Node lists)
- We need a special property “*key*” to prioritize the elements in a priority queue (PQ for short, henceforth)
  - The *key* is assigned by a user or application
  - No need to be unique, and even can be changed
  - No need to be a single number, but can be a complex property

# • List-Based PQ

## Priority Queue ADT

- A *(key, value)* pair inserted into a PQ is called “*entry*”
  - In a PQ, an element is referred as a “*value*”, so we refer to an entry of PQ as a *(key, value)* pair
- Main operations of the PQ ADT
  - entry **insert**(*k*, *x*)  
Inserts an entry with key *k* and value *x* into a PQ and returns the entry
  - entry **removeMin**()  
Removes and returns an entry with the *smallest* key (i.e., an entry whose key is less than or equal to that of every other entry in the PQ)
- Additional operations
  - entry **min**()  
Returns, but does not remove, an entry with the smallest key
  - **size**(), **isEmpty**()  
The same as the other ADTs

# List-Based PQ

## Priority Queue Operation Example

<b>Operation</b>	<b>Output</b>	<b>Priority Queue</b>
insert(5,A)	$e_1 [= (5,A)]$	{(5,A)}
insert(9,C)	$e_2 [= (9,C)]$	{(5,A), (9,C)}
insert(3,B)	$e_3 [= (3,B)]$	{(3,B), (5,A), (9,C)}
insert(7,D)	$e_4 [= (7,D)]$	{(3,B), (5,A), (7,D), (9,C)}
min()	$e_3$	{(3,B), (5,A), (7,D), (9,C)}
removeMin()	$e_3$	{(5,A), (7,D), (9,C)}
size()	3	{(5,A), (7,D), (9,C)}
removeMin()	$e_1$	{(7,D), (9,C)}
removeMin()	$e_4$	{(9,C)}
removeMin()	$e_2$	{}

$e_{1..n}$  = address of elements and element

# List-Based PQ

## List-Based PQ Structure

- Implementation with an *unsorted* list  $S$



- Fast insertions and slow removals
  - insert** operation
    - Create a new entry object  $e$
    - Just add  $e$  to the end of the list  $S$
  - removeMin** operation
    - Inspect all the entries in  $S$  to find an entry  $e_{min}$  with the smallest key using the given comparator
    - Remove and return  $e_{min}$

- Implementation with a *sorted* list  $S$



- Slow insertions and fast removals
  - insert** operation
    - Create a new entry object  $e$
    - Scan thru the list  $S$  to find the proper position  $p$  for  $e$
    - Insert  $e$  into  $S$  at position  $p$  (an entry with the smallest key is always at the first position)
  - removeMin** operation
    - Just remove and return the entry stored at the first position of  $S$

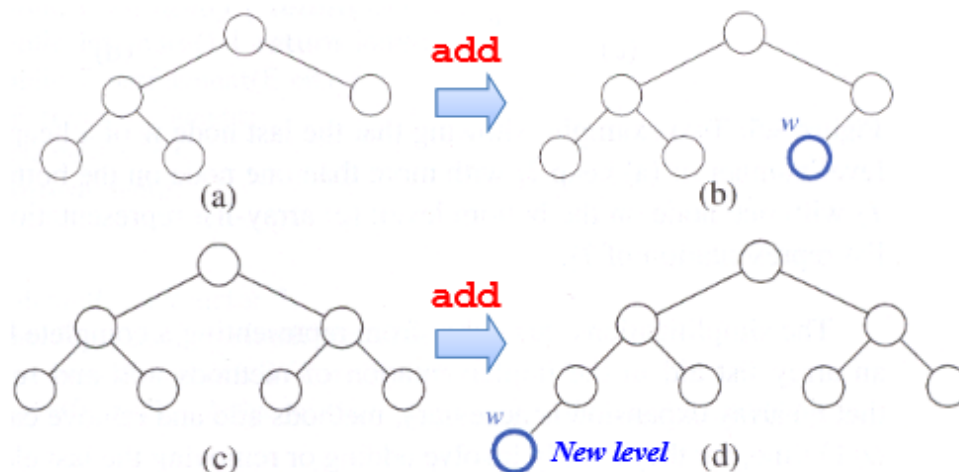
- *Heap*



# • Heap

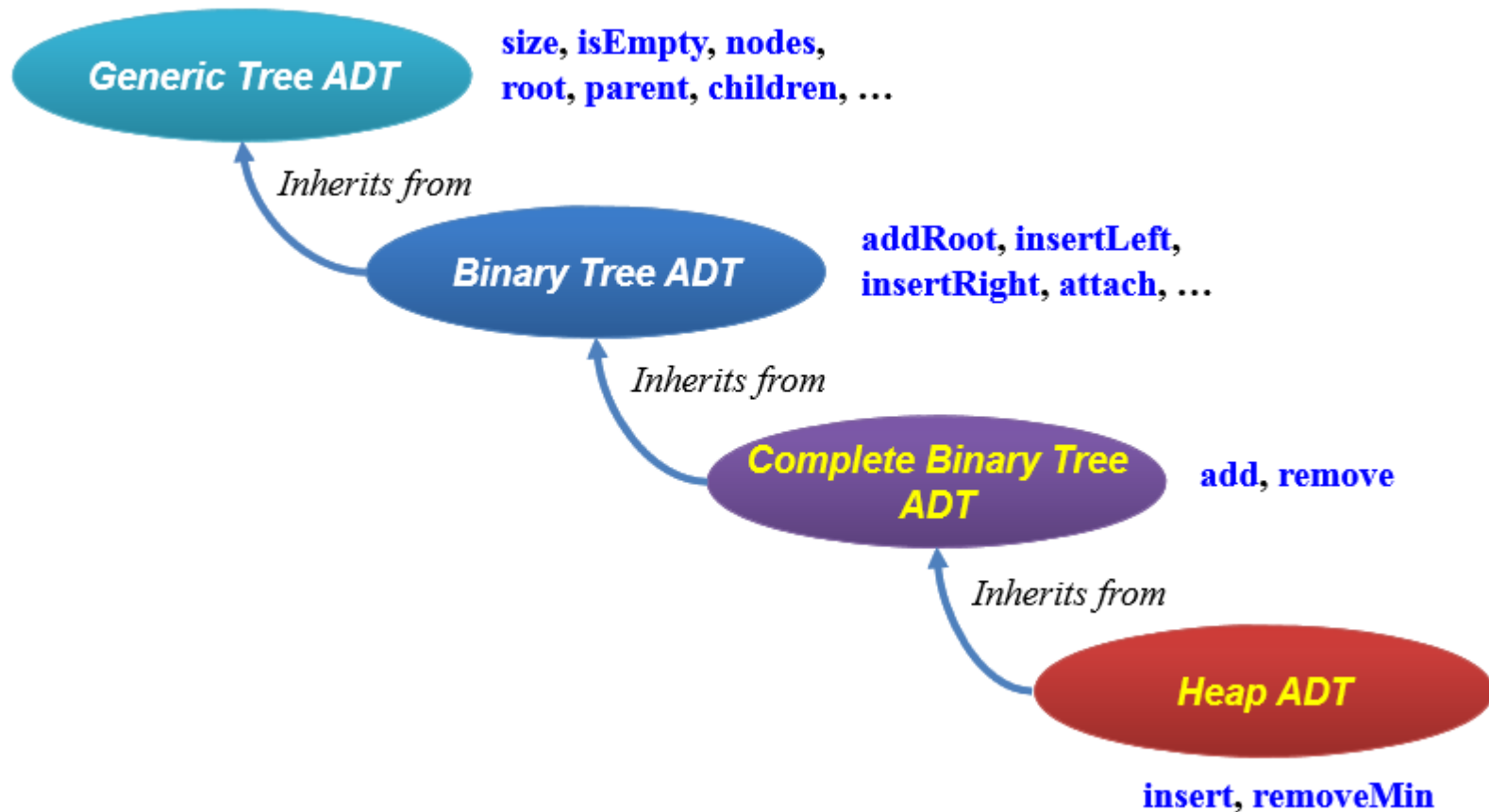
## Complete Binary Tree ADT

- An ADT of a complete binary tree  $T$  inherits all the operations from the binary tree ADT and supports the following additional operations
    - node **add**(object  $e$ ): add to  $T$  and return a new last node  $w$  storing element  $e$ , thus, the resulting tree is also a complete binary tree with the last node  $w$
    - object **remove**(): remove the last node of  $T$  and return its element
- ❖ *Insertions and removals of a complete binary tree always occur at the last node*



- Heap

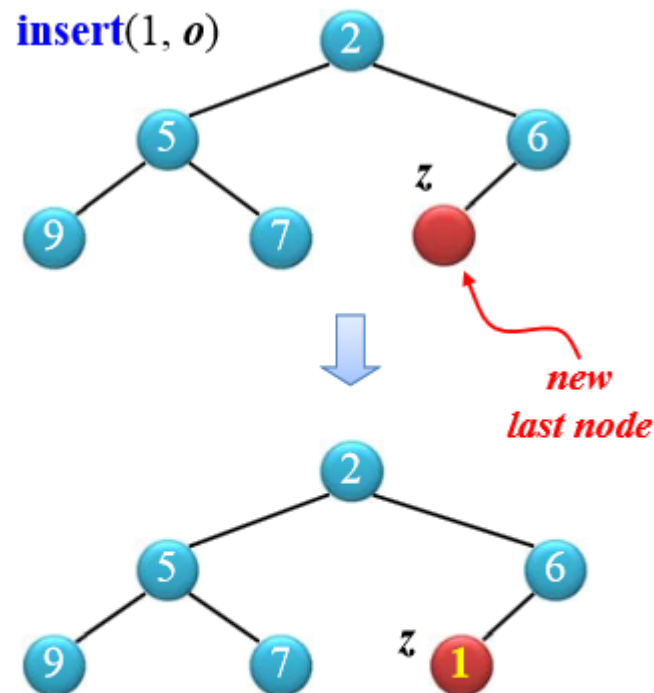
Relationship among the Heap ADT and Other Tree ADTs



# Ex1-Heap Data Structure

Insertion into a Heap

- Operation **insert**( $k, o$ ) of the PQ ADT corresponds to the insertion of a key  $k$  to the heap  $T$
- The insertion algorithm consists of three steps
  - 1) Add a new last node  $z$  to  $T$  with **add** operation of the heap  $T$
  - 2) Store entry ( $k, o$ ) at  $z$
  - 3) Restore the heap-order property with the **up-heap bubbling** algorithm



→ After the insertion of node  $z$ ,  $T$  remains complete, but is no more a heap (it violates the heap-order property)

# • Heap

## Up-heap Bubbling

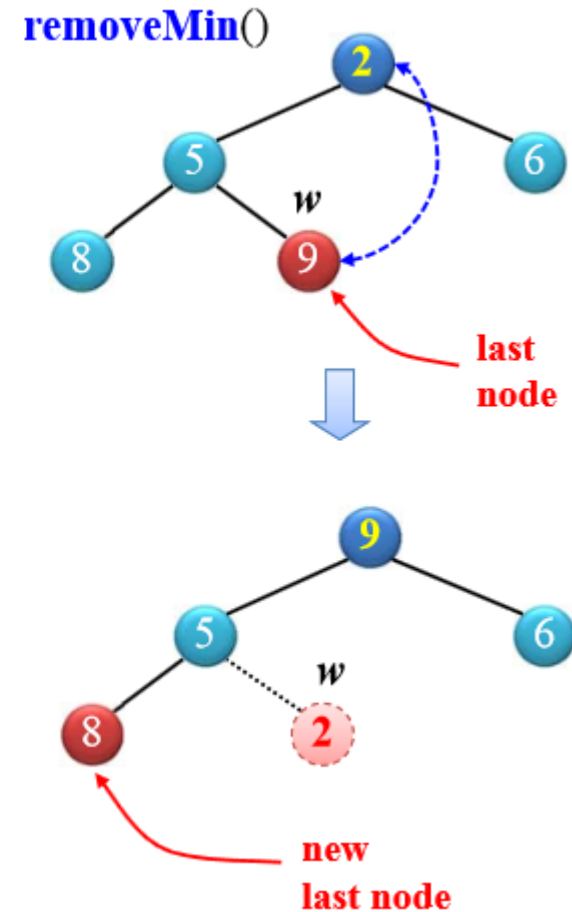
- After the insertion of a new node  $k$ , the heap-order property may be violated
- *Up-heap bubbling* algorithm restores the heap-order property by swapping  $k$  along an upward path from the new node to the root
- Up-heap bubbling terminates when the key  $k$  reaches the root or a node whose parent has a key smaller than or equal to  $k$
- Since a heap has height of  $O(\log n)$ , up-heap bubbling runs in  $O(\log n)$  time



# • Heap

## Removal from a Heap

- Operation **removeMin** of the PQ ADT corresponds to the removal of the root node from the heap  $T$ 
  - The minimum key of a heap is always stored at the root
- The removal algorithm consists of three steps
  - 1) Replace the root entry of  $T$  with the entry of the last node  $w$
  - 2) Remove  $w$  with **remove** operation of the heap  $T$
  - 3) Restore the heap-order property with the **down-heap bubbling** algorithm



# • Heap

## Removal from a Heap

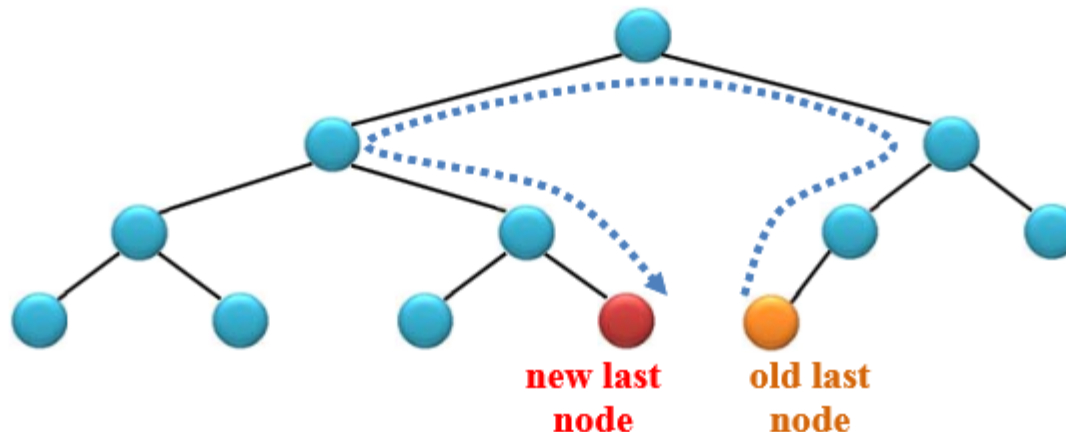
- After replacing the root key with the key of the last node, the heap-order property may be violated
- **Down-heap bubbling** algorithm restores the heap-order property by swapping the root key  $k$  along a downward path to a leaf (following the *smaller* child)
- Down-heap bubbling terminates when key  $k$  reaches a leaf or a node whose children have keys greater than or equal to  $k$
- Since a heap has height of  $O(\log n)$ , down-heap bubbling runs in  $O(\log n)$  time



# • Heap

## Updating the Last Node

- After removing the last node, we must find a new last node
  - 1) Start from the old last node
  - 2) Go up until the subtree containing the old node is the right child, or the root is reached
  - 3) Go to the left child and then go down right until a leaf is reached
    - If the root is reached from left, just go down right until a leaf is reached
- The new last node can be found by traversing a path of at most  $(2 * \text{depth})$  nodes
  - I.e., performance of updating the last node is  $O(2 * \log n) = O(\log n)$



# • Heap

## Heap.java

```
public class Heap {
    private int[] data;
    private int size;
    private int maximumSize;
    public Heap() {
        this.maximumSize = 127;
        this.data = new int[maximumSize];
        this.size = 0;
    }
    public Heap(int maximumSize) {
        if(maximumSize < 1) {
            this.maximumSize = 127;
        }
        else {
            this.maximumSize = maximumSize;
        }
        this.size = 0;
        this.data = new int[this.maximumSize];
    }
    public boolean isEmpty() {
        return size==0;
    }
    public boolean isFull() {
        return this.size==this.maximumSize;
    }
    public void clear() {
        data = null;
        size = 0;
    }
}
```

```
public void insert(int newValue) {
    int pointer;
    if(isFull()) {
        System.out.println("이미 가득 차있습니다");
    }
    else {
        data[size] = newValue;
        pointer = size;
        size ++;
        while(pointer > 0 && data[pointer] < data[(pointer-1)/2]) {
            int temp = data[pointer];
            data[pointer] = data[(pointer-1)/2];
            data[(pointer-1)/2] = temp;
            pointer = (pointer-1)/2;
        }
    }
}
public int remove() {
    int retValue;
    if(isEmpty()) {
        System.out.println("힙이 비어있습니다");
        return 0;
    }
    else {
        retValue = data[0];
        size--;
        data[0] = data[size];
        data[size] = 0;
        downBubbling();
        return retValue;
    }
}
```



- Heap

## Heap.java

```
public void downBubbling() {
    int pointer = 0;
    int childValue = 0;
    while(pointer*2+2 < size) {
        if(data[pointer*2+1] < data[pointer*2+2]) {
            childValue = 1;
        }
        else {
            childValue = 2;
        }
        int temp = data[pointer];
        data[pointer] = data[pointer*2+childValue];
        data[pointer*2+childValue] = temp;
        pointer = pointer*2+childValue;
    }
}

public void printAll() {
    int pointer = 0;
    while(pointer < size) {
        System.out.print(data[pointer] + " ");
        pointer++;
    }
    System.out.println();
}
```

## Main.java

```
public class Main {
    public static void main(String[] args) {
        Heap heap = new Heap();
        heap.insert(1);
        heap.insert(5);
        heap.insert(11);
        heap.insert(21);
        heap.insert(15);
        heap.printAll();

        heap.remove();
        heap.printAll();
    }
}
```

<terminated> Main (3) [Java Application] C:

1 5 11 21 15

5 15 11 21

# • Submission

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## Attach methods :

1. Create zip file (java project folder)
2. Modify the file names :  
ID\_name\_datastructure[Classcode].dat  
ex ) 201173378\_이명재\_datastructure[2403-1].dat
3. e-mail title :  
datastructure\_name\_chapter  
ex ) datastructure\_최수용\_chapter8[2404-1]

## Classcode

2403-1 [A-1반]

2403-2 [A-2반]

2404-1 [B-1반]

2404-2 [B-2반]

2405 [C반]